

LOCAL PLAN RISK REGISTER DATE OF LATEST REVISION - 11.11.24																								
ID REF.	DATE RAISED	RISK DESCRIPTION		RISK SCORE			MITIGATION MEASURES	AFTER RISK MITIGATION			ASSIGNED	STATUS	UPDATES & COMMENTS	COMPLETE	Risk Matrix									
				LIKELIH OOD	IMPACT	TOTAL SCORE		LIKELIH OOD	IMPACT	TOTAL SCORE					OFFICER			DATE						
1	24.08.20, descr. amended 20.12.22	Staffing risks. Insufficient capacity, skills, knowledge and effective working to complete the plan in timely fashion.		4	5	20	Extra officer support from AECOM and LUC pre-consultation.	1	4	4	DH		26.09.23 - an interim head of service joined the team March 2023 - contract extended to end of Reg 19 publication. - Public Practice relationship, employed new design officer. Ongoing relationship with AECOM and LUC to provide support as required. 2/2/2024 - appointed replacement interim project officer . October 2024 - Programme Officer appointed. No turnover of planners throughout 2024.			4	8	12	16	20		8 -14 urgent action - take immediate action and stop task if required		
2	24.08.20	Evidence base flawed, incomplete or not up to date		2	4	8	Effective project management and governance	1	4	4	AM		26.09.23 A review of the evidence work completed and evidence base has been updated to support the draft plan at consultation. Not all evidence requirements can be anticipated, and this is borne in mind on an ongoing basis (e.g. several strands of additional transport work are needed in connection with the current review/testing) with potential implications for budget and timescale. 5.3.25 further Gypsy & traveller needs work to be done.			3	6	9	12	15		5 - 7 Monitor - look to improve at the next review		
3	24.08.20	Failure to address corporate vision and objectives		2	3	6	Effective governance and project management	1	3	3	DH/AM		Note that there can be tensions between corporate vision & objectives and those of national policy & legislation. 2/2/2024 - Project plan set up with various Member briefings and meetings.			2	4	6	8	10		1 - 4 no action required, ensure controls are maintained and reviewed		
5	19.11.21	Plan is found to be unsound because choice of proposed sites not supported by the evidence base		2	5	10	Robust evidence base driving the selection of proposed sites.	1	5	5	DH/AM		The site selection methodology shared with LPLG in 2023. Member briefing session 12/6/23. Monthly meetings with Counsel. 4.3.24 Reviewing consultation responses on evidence base and sites work - and again 30.10.24.			Likelihood								
6	10.10.22	The proposed changes to the timetable extend the period for which the district is at risk of speculative development.		4	4	16	The pressure of time is in tension with robustness and quality (see evidence etc. risks), requiring astute judgment in balancing these concerns and managing and how tasks are undertaken.	1	4	4	DH		26.9.2023 No changes to the timeline are proposed by officers. 5.7.24 minor amendment owing to election. Overall LDS remains the same. 12.5.25 No changes.			Likelihood								
7	10.10.22	The timetable proposed in the LDS slips. There are always unknown factors/issues arising in the production of a Local Plan that require consideration and may result in slippage. Government intervention would significantly damage the reputation of the Council		4	4	16	The Local Plan preparation's project plan is actively managed, and reported to COB and Scrutiny Cttee.	1	4	4	AM		2/2/2024 - Scrutiny planned for April and June. Project plan in place. 4.3.24 Regular one to ones with officers and monthly in-person team meetings to review progress. 2.11.24 - submission on track. 12.5.25 timetable hit with further Scrutiny review June 2025.											
8	10.10.22, descr. amended 20.12.22	Major changes in national policy or legislation may require (or enable) a radically different plan. The Levelling Up and Regeneration Bill, for example, includes some significant proposed changes.		4	4	16	The Council will monitor upcoming consultation and anticipated changes.	1	4	4	DH		Oct 24 - Current LDS is ahead the transitional period set by Labour government. 3.4.25 Insepectors seem to support transitional arrangement.											
8b	5.7.24	New government	Incoming government signalled sanctions for not progressing local plans. Updates to NPPF due soon - content unknown. New SoS to write to councils without plans. Commitment to mandatory housing targets and boosting housebuilding.	4	4	16	Officers examine material being released by government and have close working relationship with DLUHC Officials. Reg19 Plan makes provision for full housing needs to 2041. Housing buffer increased from 5% at Reg18 to 9.2% at Reg19 which provides some headroom should new government look to increase housing requirements or consider intervention. Plan due to be submitted Dec/Jan, likely ahead of any possible legislation changes.	1	4	4	DH		Oct 24 - Met with MHCLG officials. Agreed submission date and within requirements to proceed under current NPPF.											
12	Updated - Amended 5.5.25	Objections to Reg19	insurmountable issues or issues requiring major modifications to the plan between Reg19 and EIP. Issues raised by interested parties in response to PINS pre-hearing questions that cannot be rebutted or plan cannot be modified to accommodate.	3	4	12	Reviewed Reg19 consultation responses and seeking to address those that raise more substantive issues. The number is considered to be in single figures and concern education provision and transport. All Local Plans are subject to some modifications, that is part of the process. The intention is to narrow issues as far as possible ahead of the EIP. All-day sessions taking place with KC and technical consultants to review interested party comments.	2	4	8	DH/AM		5.5.25 - Greatest risk is now any issues raised between May and June require more than 6 months or so to resolve (Ministers have told PINS not to let local plan timetables drift).											
13	Added 14.10.24	Examination Programme	A number of councils trying to get a local plan over the line ahead of the government's transitional arrangements to beat housing target increases means slower EIP process due to PINS capacity.	3	3	9	Entered into SLA with PINS and agreed submission dates. This is some 6 months ahead of majority of other councils seeking to submit within transitional arrangements window.	1	3	3	DH/AM		3.4.25 EIP dates set just 4 weeks later than anticipated. This time can be absorbed in overall programme to adoption.											
2b	04.03.24		Reg18 Consultation responses highlight major issues that cannot be resolved within Reg19 timetable.	2	6	10	Early review of consultation responses. Contingency built in to run various evidence base updates.	1	6	6	AM	Closed	4.3.24 Responses helpful and raise important issues. Nothing insurmountable but response and amendment make plan more robust.	04.07.2024										
9	20.12.22	There are insufficient feasible sites to deliver the scale of housing growth required.		3	6	18	Prioritise re-assessment of strategic growth area proposals to identify which, if any, are realistic 'options'.	2	6	10	DH/AM	Closed	No longer a risk due to lower housing need numbers and volume of sites available.	26.09.23										
10	22.02.2023	Political change or number of member changes following District elections in May 2023.		3	4	12	Regular sessions for Leader / Portfolio Holder and LPLG are already scheduled and these will need to be shared with any new members should appointments change post election. May also need extra sessions to bring any new members up to date.	2	3	6		Closed	26/9/2026 no major local political changes foreseen within the plan process. Next local election post planned adoption of plan.	26.09.23										
11	26.09.23	Insufficient staff resources to process the volume of consultation Responses to the draft plan will delay progression of Reg 19		3	6	18	Monitor number of responses received and evaluate existing internal staff capacity to assist with processing reps. Discussing with DLUHC what technologies available to assist with this.	2	6	10	DH/AM	Closed	2/2/24 Agency support drafted in. 7/3/24 complete.	7.3.24										