

### Audit and Governance Committee Action Log

Date of meeting	Action	Minute ref.	Deadline	Progress
19 January 2023	Benchmarking data on Whistleblowing incidences at other Councils to be included in future reporting.	29/22	By next report	Feb 2024: Agreed to provide comparison data in future reporting. Agreed to include alternative routes of escalation (e.g. the Guardians Programme) in next whistleblowing report.
02 February 2023	Previously shared dashboard style reporting illustrating the movement of risks to be brought to Committee.	34/22	April 2024 meeting	Updated Risk Management software being launched 19/10/23. This will enable dashboard reporting to be included in next risk report.
02 March 2023	Prioritisation of recommendations to be included in future opening the books reporting and action tracker.	40/22	June 2023 meeting	Prioritisation to be undertaken and included in the next Revenue & Capital Monitoring Improvements report.
02 March 2023	Committee to receive quarterly MTFS tracker to monitor budget variances.	41/22	Ongoing	Link to future Financial Performance Reports to be included in the Committee Action Log.  <a href="https://www.croydon.gov.uk/media/1000000/period-8-financial-performance-report-2023-24.pdf">period-8-financial-performance-report-2023-24 .pdf (croydon.gov.uk)</a>
02 March 2023	Development of process to involve the Cabinet Member for Finance in resolving recurrent internal audit actions whilst ensuring visibility to the Committee.	42/22	Ongoing	Amending audit protocol to include escalation to KK for lack of responses to reports and to later be expanded to include follow ups. Once in place further escalations are being considered.

20 April 2023	Report on 15% Council Tax VFM increase to External Auditor	49/22	June 2024 meeting	<p>March 2024: The June report will include the following to demonstrate the Value for Money delivered by the 2023-24 budget:-</p> <ul style="list-style-type: none"> <li>• Increased transparency in relation to the Council's budgeting and spending</li> <li>• Improved use of unit costs and benchmarking data</li> <li>• Linking performance to budget outcomes</li> <li>• Public engagement on the budget</li> </ul>
20 April 2023	Risk Management Reporting to include risk movement and time spent as red. Ensure future control dates are not in the past.	53/22	April 2024 meeting	Updated Risk Management software launched 19/10/23. This will enable dashboard reporting to be included in next risk report (April 2024).
20 July 2023	Future Energy Recharges reporting to include monetary values.	7/22	September 2024 meeting	
21 September 2023	Update on Cultural Transformation Programme: The Committee requested future reporting on implementation of the action plan, governance and benchmarking of the people and workforce.	17/22	March 2024 meeting	Complete. Update on Cultural Transformation Programme on March meeting agenda.
19 October 2023	Risk Reporting: Next risk report to have all control measure targets updated to future dates.	28/22	April 2024 meeting	
19 October 2023	Oracle Improvement Programme: Future reporting to include user and cultural adoption issues and any licensing costs and/or risk implications.	29/22	April 2024 meeting	Added to work programme to coincide with Cabinet report.
19 October 2023	Oracle Improvement Programme: The Committee requested a review of implementation of all other software systems within the council, to provide assurance on the Value for Money and	29/22	March 2024 meeting	Complete. Core Business Systems Assurance Review Update on March meeting agenda.

	effective use all major software systems utilised to deliver internal and external services.			
19 October 2023	Anti-Fraud Update: The Committee requested updates on Anti-Fraud engagement with Registered Social Landlords.	30/22	April 2024 meeting	
1 February 2024	A discrepancy between the action log and the work programme was raised, officers agreed to review the documents.	47/24	March 2024 meeting	Complete.
1 February 2024	AGS 2022/23 Update: The committee requested the future Internal Audit test date to be included in the tracker for those actions marked complete.	48/24	By next report	
1 February 2024	AGS 2022/23 Procurement and Contract Management: Officers agreed to summarise and share the output of the deep dive which had informed the improvement plans including the benchmarking data, with the committee.  The improvement plan would also be shared once finalised.	48/24	March 2024	Complete. Data shared with Committee 21/02/24.  Draft improvement plan expected late March 2024.