

2022/23 Annual Audit Plan	Dept	Check	Audit Days
KEY FINANCIAL SYSTEMS REVIEWS			
Bank Accounts	Resources	1	10
Business Rates	Resources	1	15
Fees and Charges	Resources	1	10
General Ledger	Resources	1	10
Parking Income: Debt Collection	Resources	1	10
Payroll	ACE	1	10
Sundry Debtors	Resources	1	10
Supplier Set Up and P2P compliance	Resources	1	15
Follow-up of audits			14
Total Key Financials Audits		8	104
CORPORATE RISK AUDITS			
Contract Formalities, Scanning, Storage and Retrieval	Corporate	1	10
Data Protection Act 2018 / UK GDPR: Central oversight	Corporate	1	10
Grant Funding received: Compliance with Grant Conditions and Reporting	Corporate	1	10
Mandatory Training	Corporate	1	10
Staff Expenses	Corporate	1	10
Staff H&S : Staff Risk assessments, Home Working and H&S Audits	Corporate	1	15
Staff Sickness	Corporate	1	15
Starters and Leavers	Corporate	1	10
Follow up of audits			10
Total Corporate Risk Audits		8	100
DEPARTMENTAL RISK AUDITS			
Cemeteries: Income and H&S	ACE	1	10
Data Protection Act 2018 / UK GDPR: ACE	ACE	1	10
New Election Requirements: Readiness	ACE	1	10
Public Health Contracts - Governance	ACE	1	10
Registrars Income	ACE	1	10
Starters and Leavers	ACE	1	10
Voluntary Organisations: Leases and Premises Management	ACE	1	10
CES Stock Management	ASC	1	10
Data Protection Act 2018 / UK GDPR: ASC	ASC	1	10
Disabled Facilities Grants	ASC	1	10
Financial Assessment: Timeliness	ASC	1	10
Hospital Discharges: Data Quality	ASC	1	10
LGL Complaints: Embedding Subsequent Actions	ASC	1	10
Provider Payments Team - Timeliness	ASC	1	10
Statutory returns: Data Analysis and Understanding	ASC	1	10
Adoptive Allowances	CYPE	1	10
Care Leave Accommodation: Quality and Standards	CYPE	1	10
Caseload Management	CYPE	1	10
Data Protection Act 2018 / UK GDPR: CYPE Compliance	CYPE	1	10
Front Door Demand Management	CYPE	1	10
No Recourse to Public Funds: Children	CYPE	1	10
SEND	CYPE	1	10
Virtual School	CYPE	1	10
Croylease / GRS etc payments	Housing	1	10
Data Protection Act 2018 / UK GDPR: Housing	Housing	1	10
Emergency and Temporary Accommodation: Income Collection	Housing	1	15
Housing Assets: Data Management and Mapping	Housing	1	10
Housing Repairs: In-house Contact Centre	Housing	1	10
Premises Health & Safety: Water Tanks and Boosters, Lightning Conductors, sprinklers, etc.	Housing	1	10
Sycamore House: Implementation of Lessons Learned	Housing	1	10
Visiting Team	Housing	1	10
Voids	Housing	1	10
Croydon Companies: Governance and Companies House Compliance	Resources	1	10

Community Hubs/ Libraries - Compliance checks	SCRER	1	15
Contract Management in SCRER	SCRER	1	15
Dangerous Structures: Resilience and how we make good	SCRER	1	10
Data Protection Act 2018 / UK GDPR: SCRER	SCRER	1	10
HMO Licensing	SCRER	1	10
Parking Permits on the Highway	SCRER	1	10
Parks (and Playground) Inspections, Insurance and Maintenance	SCRER	1	10
Follow up of audits			45
Total Departmental Risk Register Audits		37	460
COMPUTER AUDITS			
IT Audit Needs Assessment	ACE	1	6
Audits to be determined	ACE	4	40
Follow up of audits			5
Total Computer Audits		5	51
CONTRACT & PROJECT MANAGEMENT AUDITS			
Two project management audits to be determined	Various	2	20
Follow-up of audits			5
Total Contract Audits		2	25
SCHOOLS AUDITS			
Nursey			
Tunstall Nursery	CYPE	1	6
Thornton Heath Early Years Centre	CYPE	1	6
Pirmary and Junior			
Christ Church C of E School	CYPE	1	6
Forestdale Primary School	CYPE	1	6
Parkhill Infants and Juniors	CYPE	1	6
Ridgeway Primary School and Nursery	CYPE	1	6
Selsdon Primary School	CYPE	1	6
Secondary and Special			
St Mary's Catholic High School	CYPE	1	8
Archbishop Tenisons	CYPE	1	8
St Giles	CYPE	1	7
Red Gates	CYPE	1	7
Follow-up of Schools audits			13
Total Schools Audits		8	85
CONTINGENCY			
Contingency for Grant Claims, etc.			20
Total Contingency			20
ADMIN AND MANAGEMENT			
Attendance at meetings, discussions, Audit Committee etc			24
Total Admin and Management			24
GRAND TOTAL BUDGET			
		68	849
Total Key Financials Audits			
		8	104
Total Corporate Risk Audits			
		8	100
Total Departmental Risk Register Audits			
		37	460
Total Computer Audits			
		5	51
Total Contract Audits			
		2	25
Total Schools Audits			
		8	85
Total Contingency			
		0	20
Total Admin and Management			
		0	24
Grand Total			
		68	869

Daily Charge Rate Type		Charge Rate	Total Cost
Total Key Financials Audits		£ 404	£ 41,964
Total Corporate Risk Audits		£ 404	£ 40,350
Total Departmental Risk Register Audits		£ 404	£ 185,610
Total Computer Audits		£ 577	£ 29,427
Total Contract Audits		£ 491	£ 12,263
Total Schools Audits		£ 404	£ 34,298
Total Contingency		£ 404	£ 8,070
Total Admin and Management		£ 404	£ 9,684
Grand Total			£ 361,665